



COPY OF PAPERS
ORIGINALLY FILED

1218
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL (to be used for all correspondence after filing) Total number of Pages in this submission 11	Application Number	10/021,820
	Filing Date	December 13, 2001
	First Named Inventor	Kin-Ping Wong
	Group Art Unit	1619
	Examiner Name	Not Yet Assigned
	Attorney Docket No.	AN 2004.00

Commissioner for Patents
Washington, D.C. 20231

ENCLOSURES (check all that apply)		
<input type="checkbox"/> FEE TRANSMITTAL FORM <input type="checkbox"/> FEE ATTACHED	<input type="checkbox"/> ASSIGNMENT PAPERS (FOR AN APPLICATION)	<input type="checkbox"/> AFTER ALLOWANCE COMMUNICATION TO GROUP
<input type="checkbox"/> AMENDMENT/RESPONSE <input type="checkbox"/> AFTER FINAL <input type="checkbox"/> AFFIDAVITS' DECLARATION(S)	<input checked="" type="checkbox"/> DRAWING(S) <input type="checkbox"/> LICENSING-RELATED PAPERS	<input type="checkbox"/> APPEAL COMMUNICATION TO BOARD OF APPEALS AND INTERFERENCES
<input type="checkbox"/> EXTENSION OF TIME	<input type="checkbox"/> PETITION ROUTING SLIP (PTO/SB/60) AND ACCOMPANYING PETITION)	<input type="checkbox"/> APPEAL COMMUNICATION TO GROUP (APPEAL NOTICE, BRIEF, REPLY BRIEF)
<input type="checkbox"/> EXPRESS ABANDONMENT REQUEST	<input type="checkbox"/> TO CONVERT A PROVISIONAL APPLICATION	<input type="checkbox"/> PROPRIETARY INFORMATION
<input type="checkbox"/> INFORMATION DISCLOSURE STATEMENT W/1449	<input type="checkbox"/> POWER OF ATTORNEY, REVOCATION CHANGE OF CORRESPONDENCE ADDRESS	<input type="checkbox"/> STATUS LETTER
<input type="checkbox"/> CERTIFIED COPY OF PRIORITY DOCUMENT(S)	<input type="checkbox"/> TERMINAL DISCLAIMER	<input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S) (PLEASE IDENTIFY BELOW):
<input type="checkbox"/> RESPONSE TO MISSING PARTS/ INCOMPLETE APPLICATION <input type="checkbox"/> RESPONSE TO MISSING PARTS UNDER 37 CFR 1.52 OR 1.53 COPY OF PTO-1533	<input type="checkbox"/> SMALL ENTITY STATEMENT <input type="checkbox"/> REQUEST FOR REFUND	SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS AND NOTICE OF INCOMPLETE REPLY, COPY OF NOTICE OF INCOMPLETE REPLY DATED 4/12/2002, COPY OF NOTICE TO FILE MISSING PARTS DATED 3/1/2002, AND RETURN POSTCARD
<input type="checkbox"/> ISSUE FEE TRANSMITTAL	REMARKS:	
MCCUTCHEN, DOYLE, BROWN & ENERSEN LLP THREE EMBARCADERO CENTER, SUITE 1800 SAN FRANCISCO, CA 94111 TELEPHONE: (650) 849-4050 FACSIMILE: (650) 849-4800	DATE: APRIL 30, 2002 BY: <u>Antoinette F. Konski</u> ANTOINETTE F. KONSKI (REG. NO. 34,202)	
CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 30, 2002.		
By: <u>Peggy Nichols</u> Name of person signing: Peggy Nichols		



COPY OF PAPER
ORIGINALLY FILED

Docket No. AN 2004.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kin-Ping Wong
Filing Date: December 13, 2001 Examiner: Not Yet Assigned
Serial No.: 10/021,820 Group Art Unit: 1619
Title: COMPOSITIONS CONTAINING AN ACTIVE FRACTION ISOLATED
FROM TRICHOLOMA CONGLOBATUM AND METHODS OF USE

**SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS
AND NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

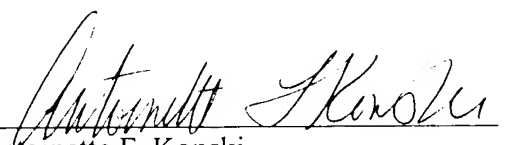
This Supplemental Response is submitted in reply to the Notice to File Missing Parts of Application issued by the U.S. Patent and Trademark Office on March 1, 2002, and Notice of Incomplete Reply issued April 12, 2002 in connection with the above-identified application. A response to this Notice originally is due May 1, 2002. Accordingly, this reply is timely filed.

On March 12, 2002, a partial reply to the Notice to File Missing Parts was timely filed with the U.S. Patent Office forwarding the Oath and Power of Attorney however, a copy of the required drawings was omitted in error. Enclosed herewith is a copy of the substitute drawings in compliance with 37 CFR § 1.84. In the unlikely event that the transmittal letter is separated from this document and/or the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in

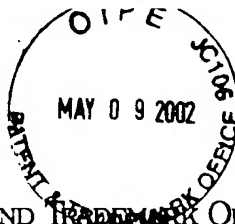
connection with the filing of this document to **Deposit Account No. 50-1189**, referencing billing no. **23888-7016**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Date: April 30, 2002

By: 
Antoinette F. Konski
Reg. No. 34,202

McCutchen, Doyle, Brown & Enersen LLP
Three Embarcadero Center, Suite 1800
San Francisco, CA 94111
Telephone: (650) 849-4950
Facsimile: (650) 849-4800



6 OF PAPERS
ORIGINALLY FILED

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,820	12/13/2001	Kin-Ping Wong	AN 2004.00

CONFIRMATION NO. 5971

FORMALITIES LETTER



OC000000007568435

McCutchen Doyle Brown & Enersen LLP
Suite 1800
Three Embarcadero Center
San Francisco, CA 94111-4067

Date Mailed: 03/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

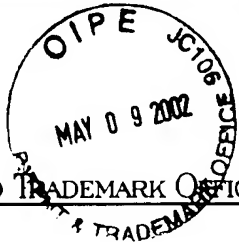
- Total additional claim fee(s) for this application is \$280.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 410.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



COPY OF PAID
ORIGINALLY FILED

Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,820	12/13/2001	Kin-Ping Wong	AN 2004.00

CONFIRMATION NO. 5971

FORMALITIES LETTER



OC00000007854942

McCutchen Doyle Brown & Enersen LLP
Suite 1800
Three Embarcadero Center
San Francisco, CA 94111-4067

Date Mailed: 04/12/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 03/25/2002 to the Notice to File Missing Parts (Notice) mailed 03/01/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Hamman

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE